

Westchester County Health Care Corporation  
Audit & Corporate Compliance Committee Meeting

February 7, 2020

9:00 A.M.

Board Annex Room

COMMITTEE MEMBERS PRESENT: Mr. Heimerdinger  
Mr. Tulis  
Mr. Israel  
Mr. Quintero  
Mr. Hochberg – via phone  
Mr. Geist – via phone  
Mr. Flannery – via phone

STAFF PRESENT: Ms. Switzer  
Ms. Ariel  
Mr. Palovick

The Westchester County Health Care Corporation Audit and Corporate Compliance Committee met on February 7, 2020. The meeting was called to order at 9:00 a.m. by Mr. Heimerdinger, Chair. A Quorum was present.

MR. HEIMERDINGER ASKED FOR A MOTION TO APPROVE THE MINUTES FROM THE MEETING OF OCTOBER 18, 2019. MR. QUINTERO MOTIONED, SECONDED BY MR. TULIS. THE MOTION CARRIED UNANIMOUSLY.

#### 2020 Corporate Compliance Risk Assessment Summary

Ms. Ariel reviewed for the Committee the 2020 Corporate Compliance Risk Assessment Summary Guide. She reviewed the 2019 Revenue Cycle Data Chart and explained that the DRGs in light blue were audited in 2018, the DRGs in dark blue were recently completed in 2019, and the DRGs in white are codes that are still out there and being identified in some areas, some are high risk and some are low risk. Ms. Ariel informed the Committee that approximately ten DRGs audits are performed each year.

A discussion ensued.

Ms. Ariel reviewed for the Committee the Medicare Case Mix; the FY 2020 OIG Work Plan; FY 2019 OMIG Medicaid Work Plan; Government Data Trends; the Payment for Evaluating Payment Patterns Electronic Report (PEPPER); Government Data Trends; 2019-2020 Reimbursement Changes; EMTAIA; Opioids and Industry Discussions; Corporate Compliance Risk Assessment Interviews; and the 2019 Compliance Investigation Trends Analysis.

A discussion ensued.

#### 2020 Corporate Compliance and Internal Audit Work Plans

Ms. Ariel reviewed for the Committee the 2018-2019 Work Plan Carryover audits for WMC. She noted that audits remain on the Work Plans until they are completed.

Ms. Ariel informed the Committee of the 2020 Corporate Compliance Audit Initiatives for WMC as follows: ICD-10 (Inpatient Coding and Documentation) Valhalla and MHRH; Outpatient Lab – Valhalla and MHRH; H&P Surgical Services – Valhalla and MHRH; Infusion Center - MHRH; Inpatient Mental Health - MHRH; and WMC Medical Record Credentialing.

Ms. Ariel informed the Committee that there is a description of each audit initiative for both the 2018-2019 carryover items as well as the 2020 initiatives.

Ms. Ariel informed the Committee of the 2020 Corporate Compliance Audit Initiatives for the Westchester Medical Center Advanced Physician Services (“APS”) as follows: Documentation and Coding Reviews of High Volume Providers (25 Providers).

Ms. Ariel reviewed the description of the 2020 APS audit initiative for the Committee.

Mr. Palovick reviewed the 2020 Internal Audit Work Plan Initiatives for WMC. He stated that he follows a similar process where he identifies risk perspectives associated with higher risk functions. Mr. Palovick informed the Committee that there is a description of each audit initiative on Diligent. He stated the audits include a good mix of financial, operational and information technology audits, as well as an audit of external auditor support and management requested reviews.

A discussion ensued.

MR. HEIMERDINGER ASKED FOR A MOTION TO APPROVE THE 2020 CORPORATE COMPLIANCE AND INTERNAL AUDIT WORK PLANS. MR. TULIS MOTIONED, SECONDED BY MR. QUINTERO. THE MOTION CARRIED UNANIMOUSLY.

Corporate Compliance Update:

Ms. Ariel advised the Committee of the following audits in progress, EMTALA; Medical Record Coder Assessment; Inpatient/Outpatient Substance Abuse; and Documentation review of High Volume Service.

Ms. Ariel discussed the Corrective Action Plan for the Certified Home Care at MHRH.

Internal Audit Update:

Mr. Palovick informed the Committee that there are three internal audits in progress as follows: Respiratory Therapy Revenue Cycle Controls; Cross Country Healthcare Contract Administration; and myCare System Implementation Phase 2.

Mr. Palovick reviewed for the Committee the completed internal audit reports for WMC Physician Security Access Controls; and my Care System Implementation – Phase 1. He stated that the completed reports are on Diligent

MR. HEIMERDINGER ASKED FOR A MOTION TO MOVE INTO EXECUTIVE SESSION. MR. TULIS MOTIONED, SECONDED BY MR. ISRAEL. THE MOTION CARRIED UNANIMOUSLY.

MR. HEIMERDINGER MOTIONED TO MOVE INTO EXECUTIVE SESSION WITHOUT MANAGEMENT, MR. TULIS SECONDED. THE MOTION CARRIED UNANIMOUSLY.

The Committee moved out of Executive Session at 9:50 a.m.

No other business came before the Committee.

Respectfully submitted,

  
AnnMarie Fernandez, WCHCC Assistant Secretary